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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/737,217	
	Filing Date	December 15, 2003	
	First Named Inventor	Young, Carl	
	Art Unit	3629	
	Examiner Name	Webb, Jamisue A.	
Total Number of Pages in This Submission		Attorney Docket Number	G08.150/U

ENCLOSURES (Check all that apply)		
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<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Buckley, Maschoff & Talwalkar LLC		
Signature			
Printed name	Kurt M. Maschoff		
Date	March 9, 2006	Reg. No.	38,235

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Typed or printed name	Edith Martin	Date	March 9, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: YOUNG et al.

Application No.: 10/737,217

Filing Date: December 15, 2003

For: INTERACTIVE SECURITY RISK
MANAGEMENT

)
) Group Art Unit: 3629
)
) Examiner: Webb, Jamisue A.
)
) **SUPPLEMENTAL INFORMATION**
) **DISCLOSURE STATEMENT**
)
) Attorney Docket No.: G08.150/U
)
) **PTO Customer Number 28062**
) Buckley, Maschoff & Talwalkar LLC
) Five Elm Street
) New Canaan, CT 06840
)
)
)

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Dated: March 9, 2006

By: Edith Martin
Edith Martin

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97(b);
(within 3 months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97(c) together with either a:
- ☒ the following Statement under 37 CFR 1.97(e): That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement ; or

(after 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

- ☐ under 37 CFR 1.97(d) together with a:
- ☐ Statement under 37 CFR 1.97(e),
 - ☐ Petition under 37 CFR 1.97(d)(2)(ii), and
 - ☐ \$ _ petition fee under 37 CFR 17(i).
- (after 37 CFR 1.97(c) time period, but before payment of issue fee)

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 CFR §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

The items listed herein were cited in a 20 December 2005 Supplementary European Search Report in a counterpart European application. A copy of the European Search Report is also enclosed.

Respectfully submitted,



March 9, 2006
Date

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

2

Complete if Known

Application Number

10/737.217

Filing Date

December 15, 2003

First Named Inventor

YOUNG, Carl

Group Art Unit

3629

Examiner Name

Webb, Jamisue A.

Attorney Docket Number

G08.150/U

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner
Signature**

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if Known		
			Application Number	10/737,217	
			Filing Date	December 15, 2003	
			First Named Inventor	YOUNG, Carl	
			Group Art Unit	3629	
			Examiner Name	Webb, Jamisue A.	
Sheet	2	of	2	Attorney Docket Number	G08.150/U

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	B	Smart, J.C., "A rule-based object-oriented model for security monitoring and control", Proceedings of the Internal Carnahan Conference on Security Technology, 3 October 1989 (1989-10-03), pages 63-67, XP010324659, Zurich, CH.	
	C	Riskwatch, Inc., "How to Do A Complete Automated Risk Assessment: A Methodology Review", Online! November 2002 (2002-11), pages 1-21, XP002357520. Retrieved from the Internet: <u>URL:Http://www.riskwatch.com/Whitepapers/How To Do A Complete Automated Risk Assessment 10_02RW.pdf</u> >, retrieved on 2005-12-05!	
	D	Iakovou et al., "An information management system for the emergency management of hurricane disasters", Int. J. Risk Assessment and Management, Vol. 2, Nos. 3/4, 2001, pages 243-262, XP002357521. Online! Retrieved from the Internet: <u>URL:http://www.environmental-center.com/magazine/inderscience/ijram/art3.pdf</u> >, retrieved on 2005-12-05!	
	E	Koilpillai, J. et al., "Recon - A Tool for Incident Detection, Tracking and Response", Darpa Information Survivability Conference and Exposition, 2000. DISCEX '00. Proceedings Hilton Head, SC, USA 25-27 Jan. 2000, Las Alamitos, CA USA, IEEE Comput. Soc, US, Vol. 1, 25 January 2000 (2000-01-25), pages 199-206, XP010371167, ISBN: 0-7695-0490-6.	
	F	"Anti-terrorism Software Models Real Effects of Biological Weapons", Security, Cahners Pub., Newton, MA, US, Vol. 36, No. 7, July 1999 (1999-07), pages 9-10, XP002938344, ISSN: 0890-8826.	
		"Supplemental European Search Report", mailed 20 December 2005, for EP 03814830.0 - PCT/US0330011, 5pgs.	

Examiner Signature		Date Considered	
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